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WASHINGTON, D.C. 20231
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FEB 19 2002

1048

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,707	12/26/2001	Jerome Michael Harris	A01200A

21898
ROHM AND HAAS COMPANY
PATENT DEPARTMENT
100 INDEPENDENCE MALL WEST
PHILADELPHIA, PA 19106-2399

CONFIRMATION NO. 7520

FORMALITIES LETTER



OC00000007367372

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS
ORIGINALLY FILED

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

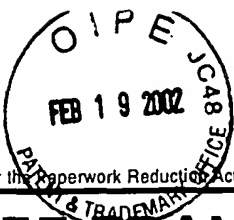
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	12/26/01
First Named Inventor	Jerome Michael Harris
Examiner Name	
Group Art Unit	1755
Attorney Docket No.	A01200A

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ORIGINALLY FILED

TOTAL AMOUNT OF PAYMENT (\$) 130

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**
Deposit Account Name **Rohm and Haas Company**☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		101 740 201 370		Utility filing fee	
		106 330 206 165		Design filing fee	
		107 510 207 255		Plant filing fee	
		108 740 208 370		Reissue filing fee	
		114 160 214 80		Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
independent Claims	-3* =	X	
Multiple Dependent			

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
		103 18 203 9		Claims in excess of 20
		102 84 202 42		Independent claims in excess of 3
		104 280 204 160		Multiple dependent claim, if not paid
		109 84 209 42		** Reissue independent claims over original patent
		110 18 210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		105 130 205 65		Surcharge - late filing fee or oath	130
		127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
		139 130 139 130		Non-English specification	
		147 2,520 147 2,520		For filing a request for ex parte reexamination	
		112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
		115 110 215 55		Extension for reply within first month	
		116 400 216 200		Extension for reply within second month	
		117 920 217 460		Extension for reply within third month	
		118 1,440 218 720		Extension for reply within fourth month	
		128 1,960 228 980		Extension for reply within fifth month	
		119 320 219 160		Notice of Appeal	
		120 320 220 160		Filing a brief in support of an appeal	
		121 280 221 140		Request for oral hearing	
		138 1,510 138 1,510		Petition to institute a public use proceeding	
		140 110 240 55		Petition to revive - unavoidable	
		141 1,280 241 640		Petition to revive - unintentional	
		142 1,280 242 640		Utility issue fee (or reissue)	
		143 460 243 230		Design issue fee	
		144 620 244 310		Plant issue fee	
		122 130 122 130		Petitions to the Commissioner	
		123 50 123 50		Processing fee under 37 CFR 1.1 7(q)	
		126 180 126 180		Submission of Information Disclosure Stmt	
		581 40 581 40		Recording each patent assignment per property (times number of properties)	
		146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
		179 740 279 370		Request for Continued Examination (RCE)	
		169 900 169 900		Request for expedited examination of a design application	
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					130

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	James G. Vouras	Registration No. (Attorney/Agent)	34,453	Telephone	(215) 592-2564
Signature	<i>James G. Vouras</i>	Date	2/1/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



4

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DN: A01200A

GDG/am

In re application of:

Jerome Michael Harris et al

**COPY OF PAPERS
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Serial No: 10/025,707 : Group Art Unit: 1755

Filed: December 26, 2001 : Examiner: Not Yet Assigned

For: Method of Improving Viscosity Stability Upon
Addition of an Aqueous Tinting Composition

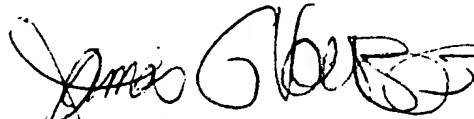
Assistant Commissioner of Patents
Application Processing Division
Customer Correction Branch
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicant's respectfully submit that the filing receipt in the present case is incorrect. A copy of the filing receipt with the corrections marked in red ink is enclosed. Please make the requested changes and issue a corrected filing receipt.

Respectfully submitted,



James G. Vouros
Attorney for Applicants
Registration No. 34,453
Telephone No. 215-592-2564

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399
February 1, 2002

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/025.707	12/26/2000	1755	1150	A01200A		10	2

CONFIRMATION NO. 7520

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**COPY OF PAPERS
ORIGINALLY FILED**

Date Mailed: 01/25/2002

Applicant(s)

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/24/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

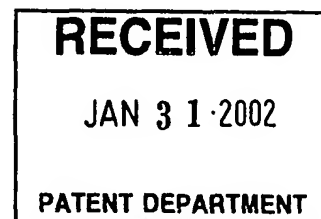
Non-Publication Request: No

Early Publication Request: No

Title

Method of improving viscosity stability upon addition of a colorant component using dispersing resins

Preliminary Class





RECEIPT
[Signature]

PATENT
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DN: A01200A
GDG/am

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Assistant Commissioner of Patents
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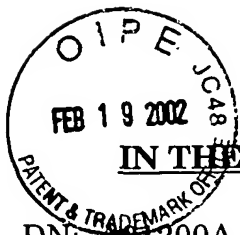
Sir:

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Request for Corrected Filing Receipt
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February 1, 2002
Date

[Signature]
Signature



DN: A01200A
GDG/am

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Jerome Michael Harris et al

Serial No: 10/025,707 : Group Art Unit: 1755

Filed: December 26, 2001 : Examiner: Not Yet Assigned

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Addition of an Aqueous Tinting Composition

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Honorable Commission of Patents and Trademarks
Washington, DC 20231

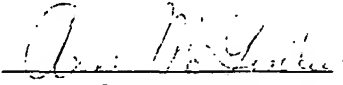
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Notice to File Missing Parts of Nonprovisional Application
Declaration
Fee Transmittal (in duplicate)
Certificate of Mailing
Return Receipt Postcard

February 1, 2002
Date


Signature



COPY OF PAPERS
ORIGINALLY FILED

PATENT APPLICATION

of

JEROME MICHAEL HARRIS

HENRY JUDE EICHMAN

and

HOMAYOUN JAMASBI

for

**METHOD OF IMPROVING VISCOSITY STABILITY UPON ADDITION
OF AN AQUEOUS TINTING COMPOSITION**